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10/09/00  
10/07/01

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form  
*(Submit an original, and a duplicate for fee processing)*

2.  Small Entity Status is hereby asserted.

3.  Specification *(preferred arrangement set forth below)*  
 - Descriptive title of the Invention  
 - Cross References to Related Applications  
 - Statement Regarding Fed sponsored R & D  
 - Reference to Sequence Listing, a table, or a computer program listing appendix.  
 - Background of the Invention  
 - Brief Summary of the Invention  
 - Brief Description of the Drawings *(if filed)*  
 - Detailed Description  
 - Claim(s)  
 - Abstract of the Disclosure

4.  Drawing(s) *35 USC 113* *(Total Sheets: 5)*

5.  Oath or Declaration *(Total Pages: 3)*  
 a.1.  Newly executed (original or copy)  
 a.2.  Unexecuted  
 b.  Copy from a prior application (37 CFR 1.63(d))  
*(for continuation/divisional with Box 18 completed)*  
 i.  DELETION OF INVENTOR(S)  
 Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6.  Application Data Sheet (see 37 CFR 1.76)

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or in an Application Data Sheet:

Continuation       Divisional       Continuation-in-part (CIP)      of prior application No. 09/132,482

Prior Application Information: Examiner R. Rose      Group Art Unit 3723

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

000513

PATENT TRADEMARK OFFICE

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

Attorney Docket No.: 2001-1103

First Named Inventor : Tetsuji TOGAWA et al.

Title: POLISHING APPARATUS

Express Mail Label No.:

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

7.  CD-ROM or CD-R in duplicate, large table or computer program *(Appendix)*

8.  Nucleotide and/or Amino Acid Sequence Submission  
*if applicable, all necessary*  
 a.  Computer Readable Form  
 b. Specification Sequence Listing on:  
 i.  CD-ROM or CD-R (2 copies); or  
 ii.  Paper  
 c.  Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s))  
 10.  37 CFR 3.73(b) Statement *(when there is an assignee)*  
 i.  Power of Attorney  
 11.  English Translation Document *(if applicable)*  
 12.  Information Disclosure Statement (IDS)/PTO-1449  
 i.  Copies of IDS Citations  
 13.  Preliminary Amendment  
 14.  Return Receipt Postcard (MPEP 503) *(Should be specifically itemized)*  
 15.  Certified Copy of Priority Document(s)  
*if foreign priority is claimed*  
 16.  Request and Certification under 35 U.S.C. 122 (b)(2)(B)(ii).  
 Applicant must attach form PTO/SB/35 or its equivalent.  
 17.  Other Change of Address

By:

Nils E. Pedersen  
Registration No. 33,145

WENDEROTH, LIND & PONACK, L.L.P.  
2033 "K" Street, N.W., Suite 800  
Washington, D.C. 20006-1021  
Phone:(202) 721-8200  
Fax:(202) 721-8250

August 7, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Tetsuji TOGAWA et al.

Serial No. NEW

: Attn: Application Branch

Filed August 7, 2001

: Attorney Docket No. 2001-1103

POLISHING APPARATUS

(Rule 1.53(b) Divisional  
of Serial No. 09/132,482,  
Filed August 11, 1998)

**PATENT OFFICE FEE TRANSMITTAL FORM**

Assistant Commissioner for Patents,  
Washington, DC 20231

Sir:

Attached hereto is a check in the amount of \$1908.00 to cover Patent Office fees relating to filing the following attached papers:

Rule 53(b) application ..... \$710.00

Additional Claims Fee:

Excess of Twenty ..... \$558.00

Independent ..... \$640.00

Multiple Dependent Fee ..... \$

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

*The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.*

Respectfully submitted,

Tetsuji TOGAWA et al.

By

Nils E. Pedersen

Registration No. 33,145

Attorney for Applicants

NEP/krl

WENDEROTH, LIND & PONACK, L.L.P.

2033 K St., N.W., Suite 800

Washington, D.C. 20006-1021

Telephone (202) 721-8200

August 7, 2001

[Check No. 45874]  
2001\_1103

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POLISHING APPARATUS  
**(Rule 1.53(b) Divisional  
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Filed August 11, 1998)**

**CHANGE OF ADDRESS**

Assistant Commissioner for Patents,  
Washington, DC 20231

Sir:

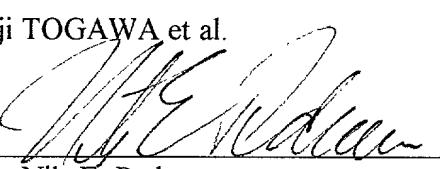
***Effective IMMEDIATELY***, please note the following change of address for the  
undersigned attorney of record:

**WENDEROTH, LIND & PONACK, L.L.P**  
2033 "K" Street N.W.  
Suite 800  
Washington, D.C. 20006

Respectfully submitted,

Tetsuji TOGAWA et al.

By

  
Nils E. Pedersen  
Registration No. 33,145  
Attorney for Applicants

NEP/krl  
Washington, D.C. 20006-1021  
Telephone (202) 721-8200  
Facsimile (202) 721-8250  
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